

GAU 1646

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

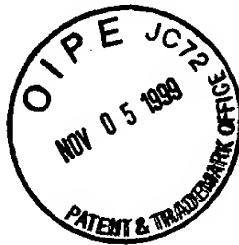
Applicant(s): Jakobsen et al.

Serial No.: 09/334,969

Filed: June 17, 1999

For: Multivalent T Cell Receptor Complexes

Attorney Docket No.: 102286.410



Examiner: TBA

Art Unit: 1646

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TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: BOX DD

Sir:

Enclosed for appropriate action are the following:

1. Information Disclosure Statement;
2. PTO 1449 Form and 13 References; and
3. Return postcard.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231.

on Nov. 2, 1999
(Date of Deposit)
Karen Kenney
Person Making Deposit
Karen Kenney
Signature

No fee is believed to be due in connection with this filing. However, the Commissioner is hereby authorized to debit Deposit Account No. 08-0219 any required fee necessary to maintain the pendency of this application.

Respectfully submitted,

Hollie L. Baker
Registration No. 31,321

Date: November 1, 1999

HALE AND DORR LLP
60 State Street
Boston, MA 02109
(617) 526-6110 telephone
(617) 526-5000 facsimile

/LegalG-N/Kenney_Karen/Baker_Hollie/kilburn/102286.410/t_ltr.wpf

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Examiner: Not Assigned

Art Unit: 1646

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: BOX DD

Sir:

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the following documents listed on the attached PTO 1449 Form. This Information Disclosure Statement is being filed before the mailing date of any first Office Action on the merits, and is therefore submitted as both timely and proper.

Applicants would like to direct the Examiner's attention to the references listed in the patent application on pages 84-89. These references are mentioned in the patent application, principally in the general background section, pages 1-12, and in the description of the invention, pages 12-32. Listed on attached PTO 1449 Form are those references from this list that are believed to be the most material and/or representative of cumulative references.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission or that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed

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Page 2



documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Copies of the documents listed on the attached PTO 1449 Form are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO 1449 Form with the next Patent Office communication.

Respectfully submitted,

A handwritten signature in cursive script that reads "Hollie L. Baker".

Hollie L. Baker
Registration No. 31,321

Date: November 1, 1999

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Boston, MA 02109
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(617) 526-5000 facsimile